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Best Practice Manual for the Forensic Investigation of Gunshot Residue by SEM/EDS

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Version 02 – November 2021

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ENFSI's position on Best Practice Manuals

ENFSI wishes to promote the improvement of mutual trust by encouraging forensic harmonization through the development and use of Best Practice Manuals. Furthermore, ENFSI encourages sharing Best Practice Manuals with the whole Forensic Science Community which also includes non ENFSI Members.

Visit www.enfsi.eu/documents/bylaws for more information. It includes the ENFSI policy document Policy on Creation of Best Practice Manuals within ENFSI (code: QCC-BPM-001).

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83 **Best Practice Manual for the**
84 **Forensic Investigation of Gunshot Residue by SEM/EDS**

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123 1. AIMS

124 This Best Practice Manual (BPM) aims to provide a framework of procedures, quality
125 principles, training processes and approaches to the forensic examination. This BPM can be
126 used by Member laboratories of ENFSI and other forensic science laboratories to establish
127 and maintain working practices in the field of forensic investigations of gunshot residue (GSR)
128 using a Scanning Electron Microscope in combination with Energy Dispersive X-ray
129 Spectrometry (SEM/EDS), references to external information given in this text – and
130 specifically the Bibliography references to Literature in section 15 – will therefore focus on all
131 aspects of this analysis technique only. The use of consistent methodology and the production
132 of more comparable results will facilitate interchange of data between laboratories.

133 This BPM is aimed at experts in the field and assumes prior knowledge in the discipline. It is
134 not a standard operating procedure and addresses the requirements of the judicial systems in
135 general terms only.

136 The term Best Practice Manual is used to reflect the scientifically accepted practices at the
137 time of its creation. Despite its implicit suggestion that alternative, equivalent Practice Manuals
138 are excluded beforehand, in this series of ENFSI Practice Manuals the term BPM has been
139 maintained for reasons of continuity and recognition.

140 This document will be of use to forensic laboratory personnel who are involved in the analysis
141 of GSR samples by SEM/EDS.

142

143

144 2. SCOPE

145 This Best Practice Manual covers the analysis of gunshot residue (GSR) by scanning electron
146 microscopy/energy-dispersive X-ray spectrometry (SEM/EDS). The analysis is performed
147 using automated software control of both the SEM and EDS systems, to screen the sample for
148 candidate particles that could be associated with GSR. Particles composed of high mean
149 atomic number elements are detected by their SEM backscattered electron signals and an
150 EDS spectrum is obtained from each. The EDS spectrum is evaluated for constituent elements
151 that could identify particle as being linked to gunshot residue. The most important particles are
152 those that are particles having the appropriate morphology with an elemental composition that
153 is consistent with or characteristic of GSR (see Section 12 for discussion on this particular
154 classification of particles.) Manual control of the instrument is then used to perform
155 confirmatory analysis and classification of the candidate particles.

156

157

158 3. TERMS AND DEFINITIONS

159 For the purposes of this Best Practice Manual (BPM), the relevant terms and definitions given
160 in ENFSI documents, the ILAC G19 “Modules in Forensic science Process”, as in standards
161 like ISO 9000, ISO 17020 and 17025 apply.

162

163 In this section only the field-specific terms and definitions, which assist in the interpretation of
164 this BPM, are listed.

165 • **gunshot residues (GSR)**, – the total residues resulting from the discharge of a firearm. It
166 includes both gunpowder and primer residues, plus metallic residues from projectiles,
167 fouling, etc.

168 • **stub**, - sampling device, consisting of a metal platform covered with an adhesive surface
169 used to collect materials from a solid and dry surface for subsequent SEM/EDS analysis.

170 • **characteristic particles**, - particles that have compositions rarely found in particles
171 originating from any other source than the discharge of a firearm.

172 • **consistent particles**, - particles that have compositions that are also found in particles
173 from a number of relatively common, non-firearm related sources. Particles within this
174 group are produced through a variety of processes, equipment, or the operation of devices
175 and can be found in the environment with varying levels of frequency.

176 • **commonly associated particles**, - particles, that have compositions that are also
177 commonly found in environmental particles from numerous sources. However, when

178 present in addition to particles that are *characteristic of* and/or *consistent with* GSR, these
179 particles can be of significance in the interpretation of a population of particles.
180 Consequently, the likelihood that population is GSR is increased by their presence. In
181 isolation, however, such particles have little significance in the examinations for GSR.

- 182 • **morphology**, - referring to size, shape, structure, and texture of individual particles of
183 interest.
- 184 • **reference material**, - material, sufficiently homogeneous and stable with respect to one
185 or more specific properties, which has been established to be fit for its intended use in a
186 measurement process.
- 187 • **standardized method**, - a method published by a recognized international, regional, or
188 national standard development organization (e.g., ASTM, AOAC or OSAC Registry of
189 Approved Standards).

192 4. RESOURCES

193 4.1 Personnel

194 People are likely to be the most important resource in any forensic application. In order to allow
195 staff to work effectively and efficiently, everybody involved in the process must understand the
196 nature of the tasks and has the competences required to perform them. Therefore, information
197 provided in this manual defines the key roles, responsibilities and also competences required
198 by these persons.

200 Due to variations in the size of different laboratories and variability within different laboratory
201 systems, absolute standardization of staffing cannot be achieved. It is also accepted that an
202 individual may be responsible for more than one of the defined roles and this document states
203 where this is the case.

204 In the event that no person in the laboratory is competent to be the GSR analyst/expert,
205 arrangements should be made for a qualified and competent person to be retained from
206 outside the laboratory to perform these duties until the situation can be remedied. The external
207 person should have the same technical responsibilities and authority as an in-house GSR
208 analyst/expert.

209 4.1.1 *Roles and responsibilities*

210 The key roles and responsibilities recognized for laboratories performing GSR examinations
211 are:

- 212 • Analyst – an individual carrying out general casework examinations or analytical tests
213 under the supervision of an expert and who is able to provide information to assist with
214 the interpretation of the test results.
- 215 • Expert – an individual responsible in a particular case for directing the examination of
216 the items submitted, interpreting the findings, writing the report and providing evidence
217 of fact and opinion for the court.

218 4.1.2 *Competence requirements*

219 The qualifications, competences and experience that individuals require to carry out the
220 various aspects of GSR examination will depend on the demands of the various aspects of the
221 work. This document defines the standards of competence required for individuals to
222 undertake the particular aspects of work, the training required and the assessments that will
223 be applied.

224 The following experience and areas of competence would be expected as the minimum
225 standard for the key roles defined above, in forensic GSR examination:

- 226 • Analyst – knowledge of the theories, analytical techniques and procedures applicable
227 to GSR examination; the practical skills to operate specialist equipment and to carry
228 out forensic GSR analysis safely and reliably in compliance with laboratory protocols.
- 229 • Expert – knowledge of the theories, analytical techniques and procedures (including
230 health and safety requirements) applicable to GSR examination; additional
231 health and safety requirements)

233 competence in the evaluation and interpretation of findings in GSR cases; knowledge
234 and experience of the requirements and procedures of the criminal justice system for
235 the presentation of evidence, in both written and oral form.

236

237 4.1.3 *Training and Maintenance of Competence*

238 Requirements of competence should be defined for all personnel involved in the field of GSR
239 examination demonstrating their competence before being allowed to undertake any case work
240 independently. The attainment of these competences should be recorded.

241 All personnel involved should also be requested to regularly demonstrate their actual
242 competence to internal or external entities (e.g. national accreditation bodies).

243 In the field of GSR examinations the following program should be included in the laboratory's
244 guidance to ensure that role holders maintain an adequate level of competence:

245

246 Analyst

- 247 • participates actively and routinely in GSR casework examination/analysis and quality
248 assurance controls
- 249 • is able to provide documentary evidence of active participation in GSR casework
- 250 • takes part in appropriate workshops, seminars, meetings, training courses and
251 research and development projects as necessary
- 252 • actively maintains a current awareness of pertinent advances in the field

253

254 Expert

- 255 • participates actively and routinely in relevant aspects of GSR casework examination
256 and management, including quality assurance controls
- 257 • is able to provide documentary evidence of active participation in GSR casework
- 258 • actively maintains a current awareness of pertinent advances in the field, particularly
259 with respect to the interpretation of findings and the conclusions that can be drawn
- 260 • takes part in appropriate workshops, seminars, meetings, training courses and
261 research and development projects as necessary
- 262 • should read current journals, books and other relevant literature containing pertinent
263 information relating to GSR examination
- 264 • should - where applicable - participate in at least one of the following activities:
 - 265 • research and development,
 - 266 • publication of a technical paper related to GSR in a recognized forensic journal,
 - 267 • presentation of papers or participation in professional meetings/seminars (e. g.
268 Annual Meetings of the ENFSI Expert Working Group Firearms/GSR),
 - 269 • technical training events – as a presenter/instructor,
- 270 • should be aware of the nationally used police ammunition (e.g. elemental composition
271 in order to assess issues regarding secondary transfer/contamination).

272

273 4.2 Equipment

274 The equipment inventory (i.e. SEM/EDS, Carbon-Coater) should be shown to operate properly
275 before being used in casework, and then only within the limits of the performance checks
276 carried out. Minimum requirements for the SEM/EDS system, including system maintenance
277 and quality control, are described in ASTM E1588.

278

279 4.3 Reference materials

280 The following list mentions the minimum set of reference materials which needs to be available
281 to ensure a reliable GSR analysis by SEM/EDS:

- 282 - Pure element standard for energy calibration of the EDX detector. (*)
- 283 - SEM image calibration standard. (*)
- 284 - BSE intensity standard for brightness and contrast adjustments. An appropriate
285 sample is usually supplied with the SEM/EDS package when purchased in the
286 context of GSR analysis application.
- 287 - GSR standard for system sensitivity check (as demanded in accordance to

288 ASTM E1588, in particular obtaining a particle detection sensitivity of equal to or
289 better than 1 µm in diameter). (*)

290
291 (*) *These may be delivered within the SEM/EDS system package or be acquired from*
292 *independent sources.*

293
294 In addition, extra reference samples may be used in the calibration and routine quality checks
295 of the SEM/EDS system, as specified in the Quality Manual of the individual laboratory. Often,
296 a stub known to contain GSR particles pertaining from a test firing is used for this purpose.

297 298 4.4 Accommodation and environmental conditions

299 Laboratory rooms for the examination of items for GSR should be designed for efficient and
300 effective operation.

301 As the equipment used in the GSR analysis lab is high-end and sensitive to environmental
302 conditions like temperature and humidity, the limitations and requirements listed in the manuals
303 of the equipment must be strictly adhered to. The use of an air filtration system specific to the
304 SEM/EDS lab is advisable. In order to limit the influence of environmental conditions on the
305 performance of the equipment, it is advisable to place it in a separate lab room, or provide
306 enclosures around each SEM/EDS instrument.

307 Particular consideration should be given to the need for avoidance of contamination. This
308 requires the provision of adequate rooms for sampling as well as physical separation of
309 sampling areas to allow for the separate processing of items coming from different suspects
310 (low concentrations of GSR particles) and victims, targets or cartridge cases (high
311 concentrations of GSR particles).

312 313 4.5 Materials and Reagents

314 All materials and reagents used for GSR investigation/examination should be of a suitable
315 quality and have been demonstrated as fit for purpose.

316 317 318 **5. METHODS**

319 320 5.1 Anti-contamination protocols

321 Procedures to monitor contamination levels should be established. The absence of GSR
322 particles in the low-contamination areas of the lab should be regularly checked (e. g. by
323 dabbing SEM stubs on the surfaces in question, e. g. the work-bench in front of the instrument,
324 or by placing a blank stub in the near vicinity of the instrument).

325 The laboratory should provide procedures on how to deal with contamination issues.

326 327 5.2 Examination Techniques and Methods

328 Most commercial-grade SEM/EDS systems are nowadays adequate for GSR analysis and
329 fulfill the criteria set forth in the ASTM E1588 Standard Guide. The automated data collection
330 of GSR involves some portion of the data collection being controlled by instrument
331 automation software. The extent to which the SEM and EDS systems communicate and
332 are integrated varies according to the manufacturers and the capabilities of the hardware
333 and software. The system shall have the ability to recall stage locations of particles for verification
334 and software for particle recognition based on elemental composition using Energy-dispersive X-
335 ray analysis.

336 Minimum requirement, as requested in the ASTM E1588 Standard Guide, are:

337 338 5.2.1 *Scanning Electron Microscope (SEM):*

- 339 • The SEM, operating in the backscattered electron imaging mode, shall be
340 configured to detect particles down to at least 1.0 µm in diameter using
341 backscattered electrons (BSE).
- 342 • The SEM shall be capable of an accelerating voltage of at least 20 kV.

- 343 • The SEM system shall be equipped with a motorized XYZ-stage with automated stage
344 control.

345 5.2.2 *Energy Dispersive X-ray Spectrometry (EDS):*

- 346 • The detector shall be configured to produce a resolution of better (less) than 150 eV
347 during analysis, measured or extrapolated as the full width at half maximum (FWHM)
348 of the Mn_{Kα} peak.
- 349 • At a minimum, the EDS spectrum shall be acquired at 20 eV per channel.
- 350 • Display of the EDS output shall encompass the X-ray lines of analytical utility, with a
351 minimum range of 0-15 keV.
- 352 • Automated systems will also include software capable of acquiring X-ray spectra for
353 a specified collection time or total X-ray counts.
- 354 • The instrument shall be capable of recording spectra obtained from the analysis of
355 candidate particles. At a minimum, an automated system shall be capable of storing all
356 of the particle location coordinates for use in a subsequent manual review.

357 5.2.3 *Sample Placement:*

- 358 • Record the positions of the stubs (sample and standard/reference stubs) on the
359 SEM stage when the samples are inserted.
- 360 • If it is anticipated or required that additional analyses will be needed, it is desirable that the
361 stub can be returned to the same orientation as before its removal. This could consist of
362 marking the side of each stub and aligning it with marks on the microscope stage or by
363 having stubs that fit into the stage in only one position (for example, stubs with a pin that is
364 a half-circle in cross section).

365 5.2.4 *Detection and Calibration:*

- 366 • Particles of GSR are detected by their backscattered electron signal intensity. The
367 absolute signal intensity that a particle produces is related to the electron beam current,
368 mean atomic number, and size of the particle (for particle sizes on the order of the
369 beam diameter). Particles whose mean atomic numbers are high will appear brighter
370 than those of lower mean atomic number composition. As the beam current increases,
371 the signal produced by each particle also increases.
- 372 • The brightness and contrast settings (low and high thresholds) of the backscattered
373 electron detector system determine the limits of detection and discrimination of particles
374 based on their mean atomic number. Threshold settings for the backscattered electron
375 signal should be adjusted using a suitable reference sample of known origin (often supplied
376 by the EDS manufacturer) or elemental standards at the same instrumental settings used
377 for sample analyses. This reference sample should, if possible, be in the microscope
378 chamber at the same time as the samples to be analyzed.
- 379 • The backscattered electron detector's brightness and contrast should be set to include the
380 high atomic number particles of interest and exclude low atomic number particles that are
381 not of interest. Typically, high contrast and low brightness settings provide an adequate
382 range between threshold limits for ease of detection. If the beam current is changed or
383 drifts, the brightness and contrast threshold limits, which were based on the previous
384 beam current, could no longer be compatible with the new conditions and should be
385 readjusted. Therefore, it is recommended that beam current measurements can be taken
386 with for e. g. a Faraday cup, a specimen current meter, or monitored by comparing the
387 integrated counts within the same peak in sequentially collected spectra from a known
388 standard.
- 389

390 5.3 Analysis protocols

391 The performance of a SEM/EDS analysis of GSR must be documented in a case related form.
392 For each measured SEM stub, a special form must be completed and the measurement reports
393 of the semi-automatic measurement attached.

394 5.4 Case Records/Documentation

395 The following documentation is required for at least a selection of the confirmed particles
396 detected:

- 398 • Images of the particles showing their morphologies.
- 399 • X-ray spectra of the particles, with all relevant elements clearly identified and labeled.

400
401 The records and, where applicable, investigation reports obtained during the SEM investigation
402 are part of the case file. The reviewed analysis findings have to be briefly documented in the
403 report for each SEM stub.

404 A summary of the results and the overall assessment is given in the expert's report. This
405 usually includes a summary of the particle findings of the individual exhibits (elemental
406 composition, number of particles found (*classified in specified categories*, if applicable)) in text
407 or tables. An assessment of the significance of the particles found (in relation to the reference
408 GSR, alternative sources, etc.), as well as information regarding possible alternative sources
409 of the particles and/or contamination sources is given – if appropriate.

410 5.5 Peer Review

411 It is particularly important in all forensic examinations that a protocol for case review is
412 established. This document, therefore, details the requirements for such reviews in the field of
413 GSR investigations by SEM/EDS. In addition to the institute's normal peer review process,
414 special attention should be given to the GSR investigations and their interpretation. Records
415 of the peer review have to be documented within the casefile.

417
418

419 **6. VALIDATION AND ESTIMATION OF UNCERTAINTY OF MEASUREMENT**

420

421 6.1 Validation

422 For the general aspects of validation this document refers to the ENFSI validation document
423 [2]. Validation of the automatic particle detection systems is carried out individually for each
424 SEM/EDS system and documented in the respective system manuals.

425 A suitable reference material for validation purposes could be a previous ENFSI GSR
426 Proficiency Test Sample. An approach on the use of this type of test samples is shown in [3].

427

428 6.2 Estimation of uncertainty of measurement

429 The examination of GSR by SEM/EDS is a qualitative investigation method. Therefore the use
430 of reference materials minimizes the risk of false conclusions. Potential uncertainties could be
431 the detection of false positive particles (multiple detected GSR particles) or false negatives
432 (undetected (because of BSE-sensitivity or scanning field gaps) or not correctly classified GSR
433 particles). This can also be derived using a previous ENFSI GSR Proficiency Test Sample as
434 shown in [3].

435

436

437 **7. QUALITY ASSURANCE**

438

439 7.1 Proficiency Testing/Collaborative Exercises

440 Proficiency tests should be used to test and assure the quality of the method "Investigation of
441 GSR by SEM/EDS". The frequency of participation should not extend more than 2 years. A list
442 of currently available PT/CE schemes as put together by the QCC is available at the ENFSI
443 Secretariat and via the ENFSI website. "Guidance on the conduct of proficiency tests and
444 collaborative exercises within ENFSI" [4] provides information for the ENFSI Expert Working

445 Groups (EWGs) on how to organize effective Proficiency Tests (PTs) and Collaborative
446 Exercises (CEs) for their members.

447

448 7.2. Quality Controls

449 When conducting automated analysis of GSR, special measures have to be chosen in order
450 to meet common quality management demands.

451 This, as a minimum should be:

452 • Establish a protocol to confirm optimum instrument operation parameters on a routine
453 basis.

454 • Monitor the EDS X-ray energy calibration and SEM beam current stability regularly. This
455 may be facilitated by the use of appropriate reference materials.

456 • Analyze a reference material with particles of known size range and composition at regular
457 intervals in order to test the accuracy of particle detection and identification. It is
458 recommended that the reference material has been prepared and mounted in a manner
459 comparable to the collection method in use by the submitting agency. The reference
460 material can be a sample of GSR from a known source (caliber of weapon, ammunition
461 manufacturer, number of rounds fired, collected area from shooter,). Additional
462 environmental particles may be added to ensure the inclusion or exclusion of particular
463 classes of particles. Alternatively, a synthetic, simulated-GSR reference sample may be
464 used for this purpose. The frequency of analysis of this sample shall be subject to
465 guidelines set out in the laboratory's standard operating procedures.

466 • The incorporation of environmental or control samples into the analytical protocol is
467 recommended in order to monitor the cleanliness of the sample collection or analytical
468 system, or both. An environmental sample may be prepared in a number of ways: for
469 example, it may be an unused stub that has been prepared contemporaneously with the
470 questioned samples or a sample taken from the sample collection or analytical
471 environments (exposed to the air or as a direct sample collection from clean workspace),
472 or both.

473 • It is recommended to plot and track the results with respect to efficiency of detection of
474 particles using a control chart to identify trends in the performance of the instrument.

475

476

477 **8. HANDLING ITEMS**

478

479 8.1 At the scene

480 For non-laboratory personnel recovering evidence at the scene, it is recommended that
481 standard operating procedures are in place and fit for purpose sampling kits are made
482 available.

483

484 8.2 In the laboratory

485 8.2.1 *Anti-contamination precautions*

486 All items submitted for GSR examination should first be examined for the integrity of their
487 packaging. Any deficiency in the packaging which may compromise the value of a laboratory
488 examination should be communicated to the sender and highlighted within the report.

489 Laboratory examiners should wear suitable protective clothing to minimize the risk of GSR
490 transfer from the examiner to the items being examined and secondary transfer between items
491 via the examiner.

492 Benches used for sampling should be rigorously cleaned prior to any examination and the
493 rooms should be cleaned regularly.

494

495 8.2.2 *Search and recovery / Sampling / Storage and disposal*

496 GSR may be recovered in the laboratory by stubs (e. g. for SEM/EDS investigation prior to a
497 chemographic treatment). After collection of the GSR, the stubs should be placed in the original
498 tubes of the sampling kit, and appropriately labeled.

499 Sampling should be performed in accordance with the instructions given by the responsible
500 Forensic Institute. It is recommended that sampling of bullet holes with stubs -if necessary- is
501 performed before chemical treatment.
502 Storage and disposal of samples should be performed in accordance with the instructions
503 given by the responsible Forensic Institute.
504
505

506 **9. INITIAL ASSESSMENT**

507
508 It is recommended – where applicable – to obtain relevant information on the status of the
509 scene, suspects and victims, changes in the urgency for information, contamination issues and
510 impact of results already reported. Information about the type of ammunition used in a specific
511 case should be acquired -if available- in order to choose the appropriate analytical conditions
512 for the automated run.
513 Information regarding the intended examination should be acquired before starting any
514 examinations.
515

516 **10. PRIORITISATION AND SEQUENCE OF EXAMINATIONS**

517
518 SEM/EDS investigations could have a profound influence on other examinations and vice
519 versa. Depending on the circumstances of the case the requesting authority should decide
520 which type of examination is of prior interest. This decision should be taken following the advice
521 of the expert.
522
523

524 **11. RECONSTRUCTION**

525
526 Not applicable.
527
528
529

530 **12. EVALUATION AND INTERPRETATION**

531
532 According to the ASTM E1588 Standard Guide [1] the classification of the detected and
533 identified GSR particles is divided into 3 major groups:

- 534 • particles classified as *characteristic of* GSR,
- 535 • particles classified as *consistent with* GSR, and
- 536 • particles classified as *commonly associated with* GSR.
537

538 For a currently valid list of commonly accepted as *characteristic of / consistent with / commonly*
539 *associated with* assessed particles this BPM refers to ASTM E1588 [1].
540

541 According to the ASTM E1588 [1] particles that are identified as *characteristic of or consistent*
542 *with* GSR often show a spheroidal morphology, with a typical diameter between 0.5 µm and
543 5.0 µm. However, elemental composition is the most diagnostic property to determine if a
544 particle could be GSR.

545 In some instances, it could provide additional forensic value to compare the elemental
546 composition of the recovered particulate with case-specific known source items, such as the
547 recovered firearm, spent cartridge cases, or other victim-related items.

548 Sometimes particles with unusual elemental compositions may be encountered in case work.
549 Under these circumstances, the elemental compositions of these particles should be compared
550 to case-specific sources, such as cartridges or ammunition/firearm test fire deposits.

551 Additional classifications may be developed for specific types of primer compositions not
552 included in the previous sections. Any new classification should aid in differentiating
553 environmentally or occupationally produced particles that could be found in a sample from

554 GSR. An assessment of the significance of these classifications has to be performed
555 considering relevant research and documentation.

556
557 In most cases it is necessary to interpret the results in the context of the particular
558 circumstances of the case. Evaluation and interpretation of the case findings can require
559 consideration of:

- 560 • the type of firearm and ammunition,
- 561 • the background information available about the case and the original expectations
562 cumulated during case assessment,
- 563 • the risks on contamination,
- 564 • the types and number of GSR found,
- 565 • how specific/unusual the GSR are.

566
567 The quality of any evaluation of evidential significance will depend on the quality of the
568 information on which it is based. Unfortunately, there will rarely be any situation where all the
569 information requirements are met, and the quality of what information is available may be
570 variable. There will always be an element of subjectivity in how this is used and what weight
571 should be attached to the different aspects.

572
573 In addition to the background information provided with the case itself, there are a number of
574 other sources of information available to assist in the interpretation of the GSR evidence; for
575 example:

- 576 • GSR reference data bases (<https://www.forensic-datahub.eu/log>),
- 577 • published literature,
- 578 • commercial ammunition data,
- 579 • information exchange within the ENFSI EWG firearms/GSR group.

580
581 It is recommended - in the final interpretation of the results - to consider all relevant additional
582 information. This may include, for example, the following aspects:

- 583 • method, place and time of securing the evidence (in particular, in the case of suspect's
584 hand examinations: the time interval between the shooting incident and sampling),
- 585 • handling, transport, storage and packaging of the exhibits (risk of cross-contamination)
- 586 • professional and private environment of the suspect (metal worker, car mechanic,
587 sports shooter, hunter, etc.),
- 588 • sampling situation (weather conditions, environmental conditions) as considered
589 relevant to the situation,
- 590 • distinction from GSR-like environmental particles such as brake pad particles, airbag
591 particles, or firework residues.

592
593
594 Furthermore, it should be noted that no universal reporting format exists. All assumptions under
595 which the conclusions were drawn should therefore be stated in the report.

596
597

598 **13. PRESENTATION OF EVIDENCE**

599
600 Evidence can be presented to the court either orally or in writing. Presentation of evidence
601 should clearly state the results of any evaluation and interpretation of the examination.

602 Written reports should include all the relevant information in a clear, concise, structured and
603 unambiguous manner as required by the relevant legal process. This is done according to the
604 relevant laboratory regulations. Written reports must be peer reviewed (preferably by at least
605 one other GSR expert).

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609 14. HEALTH AND SAFETY

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611 Health and safety considerations are extremely important in all aspects of the work and at all
612 stages of the forensic process. This may concern in particular the treatment of human tissue.
613 The materials dealt with can be inherently hazardous and/or often found in hazardous
614 circumstances but the exact facts are not always known or communicated to everybody in the
615 process. Consideration also needs to be given to the fact that materials may have to be handed
616 back to others with no scientific training or particular facilities for handling the materials.
617 Ultimately, they may go back to members of the public or could be encountered by them in
618 situations such as at court. There is an obligation on those involved in the forensic process to
619 ensure the safety of anyone handling materials that are inherently hazardous or rendered
620 hazardous by the scientific examinations performed.

621 Personnel engaged in the examination/analysis of GSR should operate in accordance with the
622 regulations of the pertinent government, environmental, health, biohazard and safety
623 authorities and laboratory policy.

624 General laboratory safety manuals should be available to all laboratory personnel. These
625 should contain details of how to conduct a risk assessment and how to develop safe systems
626 of work, both at the scene of incident and in the laboratory.

627 The risks identified and the safe systems of work should be communicated to all personnel
628 likely to be exposed to the risks. This is especially important when this group includes non-
629 scientists or members of the public (e.g. in court).

630 The relevant safe systems of work should be documented as an integral part of all standard
631 operating procedures.

632 A material safety data sheet (MSDS) file should be maintained for all chemicals used in the
633 laboratory. These data sheets must be readily available to all laboratory personnel.

634 All chemicals, biohazards and supplies should be stored and disposed of according to the
635 appropriate government regulations and laboratory policy. Laboratory personnel should be
636 responsible for maintaining their assigned work areas in a safe, clean and orderly manner.

637 Appropriate protective clothing and safety equipment such as gloves, gowns, ear protectors,
638 overalls, masks, face protection, safety cabinets and eye baths, as outlined in the various
639 procedures, should be made available near the work sites by the laboratory management. It is
640 the responsibility of the laboratory personnel to use them where required.

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667 [http://enfsi.eu/wp-content/uploads/2017/06/External-proficiency-tests-and-](http://enfsi.eu/wp-content/uploads/2017/06/External-proficiency-tests-and-collaborative-exercises-PT-CE-providers-28Nov2018.xlsx)
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673
- 674 There are many books, journals and individual papers published on the subject of examination
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676 list contains some of the significant publications that relate to the examination of GSR by
677 SEM/EDS.
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16. AMENDMENTS AGAINST PREVIOUS VERSION

This document was thoroughly revised by the ENFSI EWG Firearms/GSR and those revisions are incorporated in the new version 02. The revision was made following the new “ENFSI Template for Field Specific Best Practice Manuals” (QCC-BPM-003). Because the revisions were so extensive, the amendments are not separately listed.